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PTO/SB/05 (08-00)

PTO/SB/05 (12/97)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL  (Only for new nonprovisional applications under 37 CFR 1.53(b)  Attorney Docket No. B01-019-2
First Named Inventor or Application Identifier Pan et al.
Title Modulating Angiogenesis
Express Mail Label No. ET952622932US ET 952622932 US
ADDRESS TO: Commissioner for Patents Box Patent Application PO Box 2327, Arlington VA, 22202
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.  1. X *Fee Transmittal Form (Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 21 (preferred arrangement set forth below)  - Descriptive Title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claims  - Abstract of the Disclosure
4 Drawings(s) (35 USC 113) (Total Sheets)
5. X Oath or Declaration (Total Pages 3 )  a. Newly Executed (Original or Copy)  b. X Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6 Application Data Sheet. See 37 CFR 1.76
7 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8 Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- 1 -

	b. c. d.	Specification Sequence Lising on: i CD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies Request to use CRF from another application						
	ACCOMPANYING APPLICATION PARTS							
9.	. <u>X</u> Assignment Papers (cover sheet & documents(s))							
10.	37 CFR 3.73(b) Statement (where there is an assignee)							
	_	Power of Attorney						
11.	_	English Translation Document (if applicable)						
12.	_	a. Information Disclosure Statement (IDS)/PTO-1449						
	_	b. Copies of IDS Citations						
13.		Preliminary Amendment						
14.	<u>X</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15.	_	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.	<u>X</u>	Other: Transmittal letter (1p)						
17.	Priori	tv						
		tion claims priority to prior application No: <u>09/697,854, filed October 27, 2000, having</u> the						
		nd inventors						
Prior	applica	ation information: Examiner Group Art Unit 1642						
The en	tire disc ure of th	losure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon						
when a	portion	has been inadvertently omitted from the submitted application parts.						
18.	Cor	respondence Address						
X_	_ Cus	stomer Number or Bar Code Label 23379						
		(Insert Customer No. or Attach Bar Code Label here) or PATENT TRADEMARK OFFICE						
<u>x</u>	Cor	respondence Address Below						
-								
NAME	<u> </u>	Richard Aron Osman						
	_3	SCIENCE & TECHNOLOGY LAW GROUP						
ADDRESS <u>75 Denise Drive</u>								
CITY	Hillsb	orough STATE California ZIP CODE 94010						
Count	ry	U.S.A. TELEPHONE <u>(650) 343-4341</u> FAX <u>(650) 343-4342</u>						
Name: Richard Aron Osman Registration No: 36,627								
Signa	Signature: Date: February 6, 2002							

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FEE TRANSMITTAL FOR FY 2002
Eace are subject to annual revision

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## FEE CALCULATION (continued)

## 3. **ADDITIONAL FEES**

Large Entity   Fee   Fee   Fee   Fee   Fee   Fee   Gode   (S)   Code   (S)   Fee Description   Fee Paid	3.	ADDITIO	NAL FEE	5				
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105	Code	(\$)	Code	(\$)	Fee Description	Foo Daid		
127   50   227   25   Surcharge - late provisional filing fee or or cover sheet   139   130   139   130   Non-English specification   147   2,520   147   2,520   For filing a request for reexamination   Requesting publication of SIR prior to   Examiner action   Examiner action   Examiner action   Examiner action   Extension for response within first month   115   110   215   55   Extension for response within second month   117   920   217   460   Extension for response within second month   118   1,440   218   720   Extension for response within first month   118   1,440   218   720   Extension for response within first month   128   1,960   228   980   Extension for response within first month   128   1,960   228   980   Extension for response within first month   128   1,960   220   160   Filing a brief in support of an appeal   120   320   220   160   Filing a brief in support of an appeal   121   280   221   140   Request for oral hearing   140   110   240   55   Petition to revive unavoidably abandoned application   241   1,280   241   640   Petition to revive unintentionally   242   246   Petition to revive unintentionally   242   243   244   244   245	105	130				i ee raiu		
139   130   139   130   139   130   Non-English specification     147   2,520   147   2,520   For filing a request for reexamination     112   920*   112   920*   Requesting publication of SIR prior to	127	50	227		Surcharge - late provisional filing fee			
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116	115	110	215	55				
117   920   217   460   Extension for response within third month   118   1,440   218   720   Extension for response within fourth month   128   1,960   228   980   Extension for response within fifth month   119   320   219   160   Notice of Appeal   119   320   220   160   Filing a brief in support of an appeal   121   280   221   140   Request for oral hearing   138   1,510   Petition to institute a public use proceeding   140   110   240   55   Petition to revive unavoidably abandoned application   141   1,280   241   640   Petition to revive unintentionally abandoned application   142   1,280   242   640   Utility issue fee (or reissue)   143   460   243   230   Design issue fee   144   620   244   310   Plant issue fee   122   130   122   130   Petitions to the Commissioner   123   50   123   50   Petitions to the Commissioner   126   180   126   180   Submission of Information Disclosure Stmt   581   40   581   40   Recording each patent assignment per property (times number of properties)   80.   149   710   249   355   For filing a submission after final rejection (see 37 CFR 1.129(a))   SUBTOTAL (3) \$80.00   Reduced by Basic Filing Fee Paid   SUBMITTED BY:   Typed or Printed Name:   Richard Aron Osman   Signature   Date   February 6, 2002   Reg. Number   36,627   Deposit Account User ID   Deposit Account User ID	116	400			Extension for response within second month			
118	117				Extension for response within third month			
128	118	1,440			Extension for response within fourth month			
119   320   219   160	128				Extension for response within fifth month			
120   320   220   160   Filling a brief in support of an appeal   121   280   221   140   Request for oral hearing   138   1,510   138   1,510   Petition to Institute a public use proceeding   140   110   240   55   Petition to revive unavoidably abandoned   application   141   1,280   241   640   Petition to revive unintentionally   abandoned application   142   1,280   242   640   Utility issue fee (or reissue)   143   460   243   230   Design issue fee   122   130   122   130   Petitions to the Commissioner   123   50   123   50   Petitions related to provisional applications   126   180   Submission of Information Disclosure Stmt   581   40   581   40   Recording each patent assignment per   property (times number of properties)   80.   149   710   246   355   For filling a submission after final rejection (see 37 CFR 1.129(a))   SUBTOTAL (3) \$ 80.00   SUBMITTED BY:   Typed or Printed Name:   Richard Aron Osman   Date   February 6, 2002   Reg. Number   36,627   Deposit Account User ID   Petitions to the Count of the count o					Notice of Appeal			
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138	121	280			Request for oral hearing			
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					(comple	ete if applicable)		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pan et al.

Group Art Unit: Not yet assigned

Serial No. Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

Attorney Docket No. B01-019-2

For:

Modulating Angiogenesis

Date: February 6, 2002

This application is a DIV of USSN 09/697,854, filed October 27, 2000.

## TRANSMITTAL LETTER

The Commissioner for Patents Washington, D.C. 20231

Dear Commissioner:

This is a divisional application of Serial No. 09/697,854, filed October 27, 2000. The enclosed Specification is identical to that of prior application 09/697,854 except for insertion of the related application information and the claims. This submission introduces no new matter

Respectfully submitted,

SCIENCE & TECHNOLOGY LAW GROUP

Richard Aron Osman, Ph.D., Reg. No. 36,627

Tel: (650) 343-4341; Fax: (650) 343-4342